**Controlled Unclassified Information (CUI)** is information the government, (or someone else for or on behalf of the government), creates or possesses that has safeguarding and dissemination controls on it per law, regulation, or government-wide policy. CUI is <u>not classified</u> information (e.g. Secret or Top Secret).

## Recognize CUI

- · CUI might appear in:
  - o Grant/contract terms and funding opportunities (esp. DoD, DARPA, NASA, etc.)
  - o Sponsor communications (emails, attachments)
  - o Non-disclosure agreements (NDAs) or data use agreements (DUAs)
- Look for labels or headers/footers with: "CUI", "CTI", "CDI", "NOFORN", "Export Controlled", "(U)", "FOUO"

### Unit RA Responsibilities

## **DO**:

- Flag possible CUI mentions to your GCO\*
- Check the FOA and NOA for language about:
  - NIST SP 800-171 CUI Control Plans
  - DFARS 252.204-7012 Export Controls contact <u>export.control@asu.edu</u>
  - Cybersecurity Maturity Model Certification 2.0 (CMMC 2.0)
- Contact KE Information Security if CUI handling will be required by the project
  - ➤ Submit ServiceNow ticket \*
- Include CUI-related costs in the proposal budget in consultation with KE (RTO and Export Control)
- Familiarize yourself with CUI including training resources at the Research Security CUI page.

### O DO NOT:

- Forward, screenshot, or download marked CUI
- Store CUI on your unit's computers or sharing tools such as SharePoint or Dropbox

# 3 Quick Response Guide

If you see	You should
CUI language in a proposal or award	Notify your GCO and RTO: KE Information Security*
CUI-labeled email or file	Do not open/download/forward — contact RTO: KE Info Security*
FOA that mentions ITAR, EAR, or DFARS	Flag to Contracting Services and Export Control
Project will be subject to CUI requirements	Work with RTO to identify CUI-related budget costs.

#### № Need Help?

- Proposal GCO: Run the Notify PNT activity on the ERA Funding Proposal (FP)
- Export Control: export.control@asu.edu
- KE Information Security: Submit ServiceNow ticket
- Contracting Services: Email the GCO Agreement Reviewer listed on the ERA Agreement Task
- Research Security CUI: researchcompliance.asu.edu
  © CUI FAQS

<sup>\*</sup>Reminder: Do not forward, upload, or attach anything marked as CUI even when contacting the resources above.