

# Research and Sponsored Projects Manual (RSP)

## RSP 210: Misconduct in Research

Effective: 12/29/1989

Revised: 11/1/2023

### Purpose

To communicate ASU’s position regarding the ethical, responsible conduct and reporting of research and scholarly activity

### Sources

42 *Code of Federal Regulations* §50, Subpart A (1989)

US Health and Human Services, Office of Research Integrity

Office of the Vice President of Research

Office of Research Integrity and Assurance (ORIA)

### Applicability

[Faculty](#), academic professionals, classified, administrative and [university staff](#), and students.

### Policy

As an institution devoted to the creation of new knowledge through [research](#) and scholarship, ASU is committed to maintaining the integrity and truthfulness fundamental to these activities through the responsible and ethical conduct of its faculty, academic professionals, staff, and students. To this end, the university has established procedures for dealing with alleged [misconduct](#). In addition to addressing allegations of misconduct, these procedures are intended to protect innocent researchers and scholars from harassment, to prevent interference with their academic freedom, and to protect from retribution those who report alleged misconduct. For more information, see the [ORIA Research Misconduct page](#).

The president, through the vice president for research, has assigned the initial responsibility for handling [inquiry](#) and [investigations](#) into allegations of misconduct in research to the vice president for research.

This policy will be supplemented, as appropriate, with the provisions of the US Health and Human Services, Office of Research Integrity Model Policies and Procedures, and other applicable federal agencies to ensure compliance with federal law and protection of those involved in the process.

### Additional Information

To view the ABOR policy on “Protection of Employees from Retaliation for Whistleblowing,” go [here](#). Click on Chapter 6, number 914.

## **Cross-References**

For standards of ethics and conduct, see the *Academic Affairs Policies and Procedures Manual*:

1. [ACD 204-01](#), "Code of Ethics"
2. [ACD 204-02](#), "Standards of Professional Conduct for Faculty Members and Academic Professionals."

and

3. [ACD 204-07](#), "Whistle-Blowing and Personnel Actions."