Guideline for Obtaining a Research Drug Enforcement Agency (DEA) License for Controlled Drugs All ASU Principal Investigators who possess controlled substances for animal-based teaching or research must obtain and maintain their own Research DEA license. The license is free and relatively easy to apply for. To aid in the process, we have created tutorials for both obtaining your original license and for renewing an existing license (which must be done on an annual basis).

Applying for a Research DEA License:

Original Application - To obtain a new DEA license for research purposes, log on to the DEA website at <a href="https://www.deadiversion.usdoj.gov">https://www.deadiversion.usdoj.gov</a> and click on "New Applications." Once redirected, see the pages below for step-by-step instructions for completing this online application. The application takes about 15 minutes to complete, but it can take 6 weeks to obtain the license so plan ahead. If you require additional assistance during the application process, you may contact the DEA office in Phoenix directly (602-605-6547) or DACT (Dr. Dale DeNardo, denardo@asu.edu, 480-965-3325).

**Application Renewal** – The DEA license needs to be renewed annually. Use the same DEA website address (<a href="https://www.deadiversion.usdoj.gov">https://www.deadiversion.usdoj.gov</a>), but select "Renewal Applications" instead. Then, scroll past the tutorial below on "Applying for a New DEA License" and follow the step-by-step tutorial for "Renewing a DEA License".

# **Applying for a New DEA License**

Select "New Application".





## Application for Registration Under Controlled Substances Act of 1970 (New Applicants Only)

ON-LINE REGISTRATION CONSISTS OF SIX (6) SECTIONS. Please have the following information available before you begin the application:

### Section 1. Personal/Business Information

If you are applying for an Individual Registration (Practitioner, MLP, Researcher) you are required to provide your Full Name, Address, Social Security Number, and Phone Number. If you are applying for a Business Registration, you are required to provide the Name of the Business, Address, Tax ID, and Phone Number.

Business Activity and Drug Schedule information. In addition - Certain registrants for forms 225 and 510 will need to provide specific drug codes and/or chemical codes related to their operations

### Section 3. State License(s)

It is mandatory to provide State medical and/or controlled substance licenses/registrations. Failure to provide VALID and ACTIVE state licenses will be cause to declare the application as defective and it will be withdrawn WITHOUT refund.

Information pertaining to controlled substances in the applicant's background.

### Section 5. Payment

Payment, via this on-line application, must be made with a Visa or MasterCard, American Express, or Discover, Application fees are not refundable.

### Section 6. Confirmation

Applicants will confirm the entered information, make corrections if needed, and electronically submit the application and a submission confirmation will be presented. Applicants will be able to print copies for their records.

WARNING: 21 USC 843(d), states that any person who knowingly or intentionally furnishes false or fraudulent information in the application is subject to a term of imprisonment of not more than 4 years, and a fine under Title 18 of not more than \$250,000, or both.

Select Your Business Category

Practitioner (MD, DO, DDS, DMD, DVM, DPM) Manufacturer Mid Level Practitioner (NP, PA, OD, etc.) <u>Pharmacy</u>

Hospital/Clinic Teaching Institution Form 510

Chemical Manufacturer Importer Chemical Importer Exporter **Chemical Exporter Distributor Chemical Distributor** 

Rev. Distributor Canine Handler Analytical Lab

Form 225

## Form 363

Narcotic Treatment Clinics

## Select One Business Activity

Applying for a registration with the wrong Business Category/Activity will cause either delay in processing your application or the withdraw of your Business Category/Activity, please contact DEA Customer Service at 1-800-882-9539. application or the withdrawal of your application. If you are not certain

Please do not use your browser's BACK and FORWARD buttons while navigating this form



## ADDITIONAL INFORMATION

- 1. No registration will be issued unless a completed application form has been received (21 CFR 1301.13).
- 2. In accordance with the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number. The OMB number for this collection is (See Above). Public reporting burden for this collection of information is estimated to average (See Above) per response, including the time for reviewing instructions,
- searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information.

  3. The Debt Collection Improvements Act of 1996 (31 U.S.C. §7701) requires that you furnish your Taxpayer Identification Number (TIN) or Social Security Number (SSN) on this application. This number is required for debt collection procedures if your fee is not collectible

## 4. PRIVACY ACT NOTICE:

Providing information other than your SSN or TIN is voluntary; however, failure to furnish it will preclude processing of the application. The authorities for collection of this information are §§302 and 303 of the Controlled Substances Act (CSA) (21 U.S.C. §§822 and 823). The principle purpose for which the information will be used is to register applicants pursuant to the CSA. The information may be disclosed to other Federal law enforcement and regulatory agencies for law enforcement and regulatory purposes, State and local law enforcement and regulatory agencies for law enforcement and regulatory purposes, and person registered under the CSA for the purpose of verifying registration. For further guidance regarding how your information may be used or disclosed, and a complete list of the routine uses of this collection, please see the DEA System of Records Notice "Controlled Substances Act Registration Records" (DEA-005), 52 FR 47208, December 11, 1987, as modified.

After selecting "Researcher", a "Please Select" box will appear. Within that box, select "RESEARCHER (II-V) (\$244 / 1 yrs)". Even with this selection, you will be exempt from paying. Click "Begin".



## Application for Registration Under Controlled Substances Act of 1970 (New Applicants Only)

ON-LINE REGISTRATION CONSISTS OF SIX (6) SECTIONS. Please have the following information available before you begin the application:

If you are applying for an Individual Registration (Practitioner, MLP, Researcher) you are required to provide your Full Name, Address, Social Security Number, and Phone Number. If you are applying for a Business Registration, you are required to provide the Name of the Business, Address, Tax ID, and Phone Number

### Section 2. Activity

Business Activity and Drug Schedule information. In addition - Certain registrants for forms 225 and 510 will need to provide specific drug codes and/or chemical codes related to their operations.

It is mandatory to provide State medical and/or controlled substance licenses/registrations. Failure to provide VALID and ACTIVE state licenses will be cause to declare the application as defective and it will be withdrawn WITHOUT refund.

### Section 4. Background Information

Information pertaining to controlled substances in the applicant's background.

### Section 5. Payment

Payment, via this on-line application, must be made with a Visa or MasterCard, American Express, or Discover, Application fees are not refundable.

### Section 6. Confirmation

Applicants will confirm the entered information, make corrections if needed, and electronically submit the application and a submission confirmation will be presented. Applicants will be able to print copies for their records.

WARNING: 21 USC 843(d), states that any person who knowingly or intentionally furnishes false or fraudulent information in the application is subject to a term of imprisonment of not more than 4 years, and a fine under Title 18 of not more than \$250,000. or both.

Select Your Business Category

Form 224	Form 225	Form 510
Practitioner (MD, DO, DDS, DMD, DVM, DPM)	Manufacturer	Chemical Manufacturer
Mid Level Practitioner (NP, PA, OD, etc.)	<u>Importer</u>	Chemical Importer
<u>Pharmacy</u>	Exporter	Chemical Exporter
Hospital/Clinic	<u>Distributor</u>	Chemical Distributor
Teaching Institution	Rev. Distributor	
	Researcher	
	Canine Handler	
	Analytical Lab	

## Form 363

Narcotic Treatment Clinics

## Select One Business Activity

Applying for a registration with the wrong Business Category/Activity will cause either delay in processing your application or the withdrawal of your application. If you are not certain of your Business Category/Activity, please contact DEA Customer Service at 1-800-882-9539.



Please do not use your browser's BACK and FORWARD buttons while navigating this form



## ADDITIONAL INFORMATION

- 1 No registration will be issued unless a completed application form has been received (21 CFR 1301 13)
- 2. In accordance with the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number. The OMB number for this collection is (See Above). Public reporting burden for this
- collection of information is estimated to average (See Above) per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information.

  3. The Debt Collection Improvements Act of 1996 (31 U.S.C. §7701) requires that you furnish your Taxpayer Identification Number (TiN) or Social Security Number (SSN) on this application. This number is required for debt collection procedures if your fee is not collectible

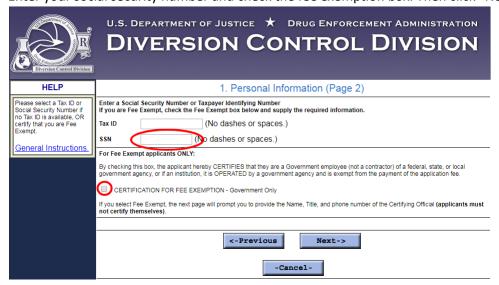
## 4. PRIVACY ACT NOTICE:

Providing information other than your SSN or TIN is voluntary; however, failure to furnish it will preclude processing of the application. The authorities for collection of this information are §§302 and 303 of the Controlled Substances Act (CSA) (21 U.S.C. §§822 and 823). The principle purpose for which the information will be used is to register applicants pursuant to the CSA. The information may be disclosed to other Federal law enforcement and regulatory agencies for law enforcement and regulatory purposes, State and local law enforcement and regulatory agencies for law enforcement and regulatory purposes, and person registered under the CSA for the purpose of verifying registration. For further guidance regarding how your information may be used or disclosed, and a complete list of the routine uses of this collection, please see the DEA System of Records Notice "Controlled Substances Act Registration Records" (DEA-005), 52 FR 47208, December 11, 1987, as modified.

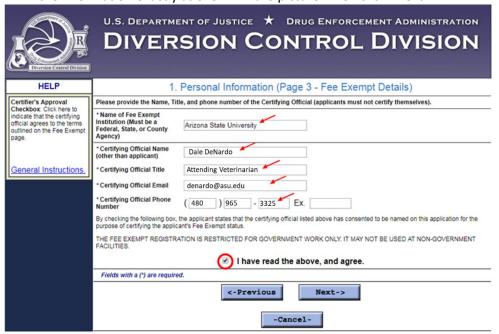
Complete this entire page; then click "Next ->".



Enter your social security number and check the fee exemption box. Then click "Next ->".



Fill in the information exactly as shown in the picture. Then click "Next ->".

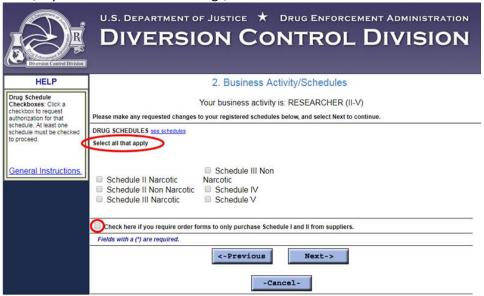


# Select the drug schedules that you will be using. Schedules of frequently used include:

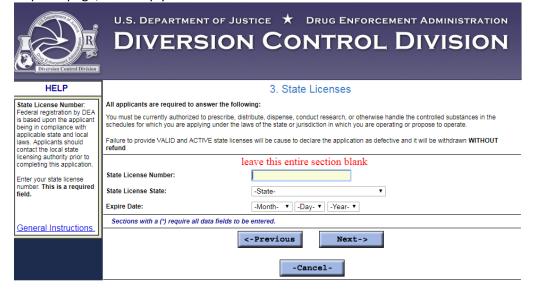
buprenorphine III narcotic diazepam IV non-narcotic euthanasia solution III non-narcotic Fatal-Plus II non-narcotic hydromorphone II narcotic III non-narcotic ketamine methohexital (Brevital) IV non-narcotic oxymorphone II narcotic tramadol IV narcotic

Find all drug schedules at: https://www.deadiversion.usdoj.gov/schedules/orangebook/c cs alpha.pdf

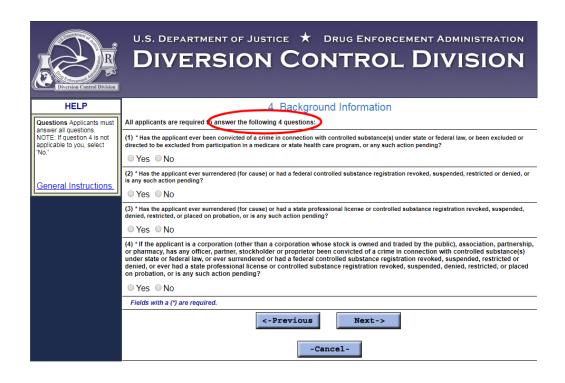
Also, if you use schedule I or II drugs, check the box to receive the needed order forms. Click "Next ->".



Skip this page, and simply select "Next ->".



Answer these questions; then click on "Next ->".



# Typically, you can skip this section and simply click on "Next ->".

Select a schedule to add drug codes for that schedule.	Select Drug Codes
Schedule I *	You have not selected any schedules which require drug code input. You may select "Next" below to continue.
Schedule II Narcotic *	More details regarding drug schedules can be found in 21 CFR 1308.
Schedule II Non Narcotic *	
Schedule III Narcotic *	
Schedule III Non Narcotic *	
Schedule IV *	
Schedule V *	
List I Chemicals *	
Schedules marked with a '*' do not require drug codes to be entered.	
You have not selected any schedules which require drug code input. You may select "Next" below to continue.	
	Drug Codes Selected
<-Previous	- No Codes Selected -
Next->	
-Cancel-	

# Review, e-sign, and submit.



Summary of Information

Please review your responses. Click the change buttons on the left to make any required changes, then submit the application using the Submit button below.

		STEP - 1 PERSONAL INFO
	First Name, MI:	Sale F
	Last Name:	16 (446) C
		DECT Assemption convents
	Address:	SCORE OF
Change	City:	1945
	State:	el .
	Zip:	10.007
	Phone:	40 M 10
	POC Cell Phone:	60 N 400
	Business Email:	decartegion, etc.
	Contact Name:	Trade Technology
	SSN:	010000
Change	Tax ID:	
	Fee Exempt:	-
	Institution Name:	Annual Rate (Street)
	Certifying Official's	
Change	Name:	Isamo Yanda
	Certifying Official's Title:	S. Rassouge of France
	Certifying Official's Phone:	MI NO 100
		STEP - 2 BUSINESS ACTIVITY
Change	Business Activity:	E-E-E-E-E-E-E-E-E-E-E-E-E-E-E-E-E-E-E-
	Drug Schedule:	(8184)
	Requested Order Forms?	No.
		STEP - 3 STATE LICENSES
Change	State License:	Name of
		Eagure 12 17 17 17 17
	4	STEP - 4 BACKGROUND
Change	Background Questions:	El (Custodial Subseques Constituel); B Bi-Forbul conpositionibilità B Bi-Forbul conpositionibilità B Bi-Forbul State Constituel Subseques Constituel; B Bi-Forbul State Constituel Subseques Constituel; B
Change		
	Drug Codes Selected:	Drug Codes

WARNING: 21 USC 843(d), states that any person who knowingly or intentionally furnishes false or fraudulent information in the application is subject to a term of imprisonment of not more than 4 years, and a fine under Title 18 of not more than \$250,000. or both.

By typing my full name in the space below, I hereby certify that the foregoing information furnished on this electronic DEA application is true and correct and understand that this constitutes an electronic signature for purposes of this electronic DEA application only.

\* Name of Applicant (For individual registrants, the registrant themselves MUST complete this E-Signature) or name of Officer of the Corporation/Company

\* e-Signature:

This electronic DEA application must be certified by the applicant/registrant, if an individual; by a partner of the applicant, if a partnership; or by an officer of the applicant, if a corporation, corporate division, association, trust, or other entity. See 21 C.E.R. § 1301.13(i) for more information on who can certify this application

Once you select the Submit Button below, your application will be submitted, and no further changes will be possible using this online form.



# Print your receipt and exit. You're done!



# **Renewing a DEA License**

Click on "Renewal Application".



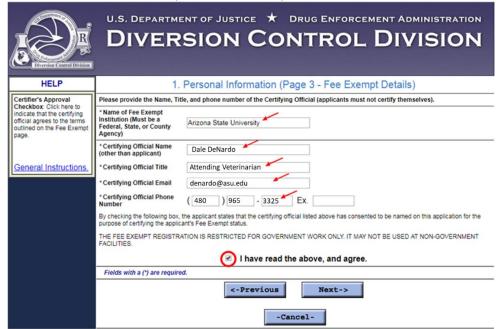
Enter the requested information, which can be found on your current license.

	DEA Registration Renewal Form Login:
	<b>DEA Number</b> (Not Case Sensitive)
	Last Name or Business Name (Not Case Sensitive) As it appears on your CURRENT DEA Certificate of Registration.
	Example: If "Smith, John Q MD" is on your registration/application, then enter: Smith
	If "Smith's, Pharmacy" is on your registration/application, then enter: Smith's
	If "Smith's Pharmacy" (no comma) is on your registration, then enter: Smith's Pharmacy
	SSN (Required if provided on last application for a DEA registration)
	Tax ID(Required if provided on last application for a DEA registration)
-Month- ▼ -Day- ▼ -Year- ▼	Current Expiration Date Current Expiration Date (In most cases, you will NOT be able to access your registration information if today's date is more than sixty (60) days prior to the expiration date)
-State- ▼	State (from DEA Certificate of Registration) .
	Zip (from DEA Certificate of Registration)
Please do	not use your browser's BACK and FORWARD buttons while navigating this form.  Login

Your social security number should self-populate, so simply check the fee exemption box.



Fill in the information exactly as shown in the picture. Then click "Next ->".

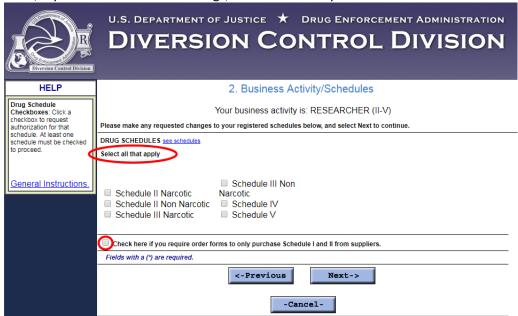


# Select the drug schedules that you will be using. Schedules of frequently used include:

buprenorphine III narcotic diazepam IV non-narcotic euthanasia solution III non-narcotic Fatal-Plus II non-narcotic hydromorphone II narcotic ketamine III non-narcotic methohexital (Brevital) IV non-narcotic oxymorphone II narcotic tramadol IV narcotic

Find all drug schedules at: https://www.deadiversion.usdoj.gov/schedules/orangebook/c cs alpha.pdf

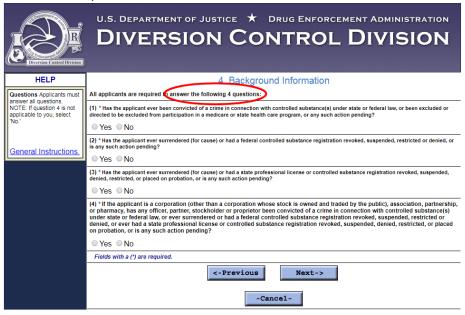
# Also, if you use schedule I or II drugs, check the box if you need more order forms.

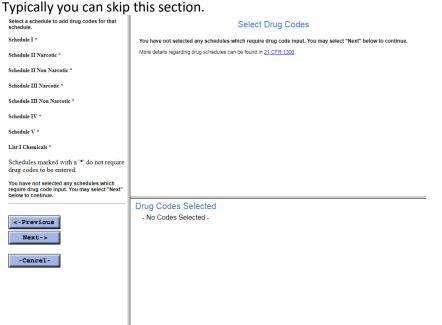


Skip this page, and simply select "Next ->".



# Answer these questions.





# Review, e-sign, and submit.



Summary of Information

Please review your responses. Click the change buttons on the left to make any required changes, then submit the application using the Submit button below.

		STEP - 1 PERSONAL INFO
	First Name, MI:	Table 7
	Last Name:	(E144E)11
	Address:	COLUMN TO STATE OF THE PARTY OF
Change	City:	Traffic
onaugo	State:	4
	Zip:	married and a second a second and a second a
	Phone:	
	POC Cell Phone:	
	Business Email:	teratelline site
	Contact Name:	late failure
	SSN:	en common
Change	Tax ID:	
	Fee Exempt:	
	T co catalingto	
	Institution Name:	France State convents
m	Certifying Official's	
Change	Name: Certifying Official's Title:	In terroris
	Certifying Official's Phone:	
	1	STEP - 2 BUSINESS ACTIVITY
	Business Activity:	WINDOWS CO.
Change	Drug Schedule:	. 8 . 8 . 1
	Requested Order Forms?	to the same of the
	Tomas	STEP - 3 STATE LICENSES \
		0.00
Change	State License:	Number 1993 Name 10 To 2015
		STEP - 4 BACKGROUND \
Change	Background Questions:	F. Consider Subspace Constitute?, II B. Folker constructional, II E. Dan constructional, II B. Const. Who Consider Subspace Constitute III
Change		
	Drug Codes Selected:	Drug Codes

WARNING: 21 USC 943(d), states that any person who knowlingly or intentionally furnishes talse or fraudulent information in the application is subject to a term of imprisorment of not more than 4 years, and a fine under Title 18 of not more than \$250,000, or both.

By typing my full name in the space below, I hereby certify that the foregoing information furnished on this electronic DEA application is true and correct and understand that this constitutes an electronic signature for purposes of this electronic DEA application only.

\* Name of Applicant (For individual registrants, the registrant themselves MUST complete this E-Signature) or name of Officer of the Corporation/Company

\* e-Signature:

This electronic DEA application must be certified by the applicant against a substitution by a petitier of the applicant, if a partnership or by an officer of the applicant, if a comporation, corporate division, association, trust, or other entity, Sec. 21 C.E.R. § 132(1,13)) for more information on who can entity this applicant.

Once you select the Submit Button below, your application will be submitted, and no further changes will be possible using this online form.

Submit Application

# Print your receipt and exit. You're done!

